## Bioreduction of Acetophenone Derivatives by Red Marine Algae Bostrychia radicans and B. tenella, and Marine Bacteria Associated

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The biocatalytic reduction of acetophenone derivatives was exploited by using algal biomass from Bostrychia radicans and B. tenella producing exclusively  $(S)$ -2-phenylethanols with high enantiomeric excess (> 99% ee). Bacterial populations associated with algal biomass were identified as the Bacillus genus. This report deals with the first investigations involving the use of marine bacteria associated with B. radicans and B. tenella marine algae for the biocatalytic reduction of acetophenone derivatives.

1. Introduction. – Reduction reactions of prochiral ketones promote the production of enantiomerically pure alcohols which are valuable intermediates in asymmetric organic synthesis. Microorganisms from different natural sources may carry out these biotransformations. Marine biodiversity has an enormous potential for biotechnological processes. Marine metagenomics offers strategies for the discovery of new enzymes with biotechnological applications [1] [2].

The biocatalytic reduction of prochiral ketones has been extensively studied by several methods [3]. However, the use of algae for the reduction of ketones is rare in comparison to cells of microorganisms, such as fungi, yeast, and bacteria [4] [5]. Yoshizako et al. [6-9] described the asymmetric reduction of the CO groups using the Chorella pyrenoidosa alga. Biotransformation of aliphatic and aromatic ketones, and aldehydes were reported from five cultures of photosynthetic marine microalgae [10] [11]. *Dunaliella tertiolecta* (green microalga) catalyzed the biotransformation of terpenoids [12], and several keto esters were converted to the corresponding hydroxy esters by marine microalgae such Chaetoceros and Nannochloropsis [13]. The Spirulina platensis alga catalyzed alkanone debromation with good yields [14]. There are excellent studies involving the reduction of various ketones by red algae yielding enantiomerically pure alcohols [4].

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Recently, we reported the bioreduction of  $\alpha$ -chloroacetophenone and the biotransformation of  $\alpha$ -bromoacetophenones using marine fungi Trichoderma sp., Penicillium mickyzinskii, and Bionectria sp. [15] [16]. These fungi catalyzed these biotransformations only when they were growing in artificial seawater.

Here, we present the use of marine algae Bostrychia tenella and B. radicans, and their associated microorganisms for the reduction of acetophenone derivatives. In addition, we isolated strains of marine bacteria of the *Bacillus* genus from *B. radicans* which catalyzed the enantioselective reduction of acetophenone derivatives with high enantiomeric excess (> 99% ee).

2. Experimental. - 2.1. General. All manipulations involving algae and marine bacteria were carried out under sterile conditions in a Veco laminar flow cabinet. Technal TE-421 or Superohm G-25 orbital shakers were employed in the biocatalytic transformation experiments. Reagents *ortho-*iodoacetophenone (1), meta-iodoacetophenone (2), para-iodoacetophenone (3), ortho-fluoroacetophenone (4),  $ortho$ -chloroacetophenone (5),  $ortho$ -bromoacetophenone (6) and  $ortho$ -nitroacetophenone (7), and  $\mathrm{NaBH}_4$  were purchased from  $\emph{Sigma-Aldrich}.$  Products derived synthetically or from enzymatic processes were purified by column chromatography (CC) on silica gel (SiO<sub>2</sub>: 230 – 400 mesh) eluted with hexane/ AcOEt mixtures. The column eluent was monitored by TLC on pre-coated silica gel 60  $F_{254}$  layers (aluminum-backed: Sorbent) eluted with hexane/AcOEt 9 : 1 and 8 : 2. Reaction products were analyzed using a Shimadzu model 2010 GC/FID equipped with an auto-injector AOC20i and Varian CP-Chiralsil-DEX  $\beta$ -Cyclodextrin column (25 m  $\times$  0.25 mm i.d.; 0.39 µm). The programs used for GC analyses of alcohols are described in *Table 1*. The injector and detector were maintained at  $200^\circ$ , the split ratio of the injector was 1:20, and the carrier gas was  $N<sub>2</sub>$  at 60 kPa. The ee values of alcohols were determined by GC analyses.

Alcohols	$T_i$ [ $\degree$ ]	$t_i$ [min]	$T_{\rm f}$ [°]	$t_f$ [min]	$r \lceil^{\circ}/\text{min}\rceil$	$t_{R}$ [min]
8	115	$\mathcal{D}$	175	8	3	$(R)$ 18.0, $(S)$ 20.0
	120	າ	190	8	2	$(R)$ 21.0, $(S)$ 22.5
10	120	າ	165	8	2	$(R)$ 21.0, $(S)$ 22.0
11	100		180		$\mathfrak{D}$	$(R)$ 21.0, $(S)$ 22.0
12	60		180			$(R)$ 23.0, $(S)$ 25.0
13	90		140	6	2	$(R)$ 24.0, $(S)$ 26.0
14	100		150	6		$(R)$ 26.0, $(S)$ 27.0

Table 1. Programs Used for Identification of the Alcohols  $8-14$  by GC Analyses<sup>a</sup>)

a) Chiral column: CP-Chiralsil-DEX  $\beta$ -Cyclodextrin (25 m  $\times$  0.25 mm i.d.; 0.39 µm); T<sub>i</sub>: initial temperature;  $T_f$ : final temperature;  $t_i$ : initial time;  $t_f$ : final time; r: rate;  $t_R$ : retention time.

2.2. Synthesis of Racemic Alcohols  $8-14$ . Racemic alcohols  $8-14$  were obtained by reduction of the corresponding ketones  $1-7$  with NaBH<sub>4</sub> and MeOH [17]. The spectroscopic data (IR, <sup>1</sup>H-NMR, and MS) of  $8-14$  were in agreement with those reported in the literature [18-22].

2.3. Collection and Identification of Algae. The algae Bostrychia tenella and Bostrychia radicans were collected in Ubatuba, in the South Atlantic Ocean off the northern coast of the State of São Paulo, Brazil, in September 2007. Algae were identified by using conventional taxonomic methods by N. S. Yokoya from the Instituto de Botanica de São Paulo, Brazil [23]. Algal material (2 kg) was stored in a freezer  $(-14^{\circ})$ . Voucher specimens were deposited with the Herbarium of the Instituto de Botânica (São Paulo, SP, Brazil) under the accession Nos. SP 365678 (B. radicans) and SP 400217 (B. tenella).

2.4. Isolation and Identification of Marine Bacteria from B. tenella and B. radicans. Algae B. tenella and B. radicans stored in a freezer at  $-14^{\circ}$  were transferred to a refrigerator at a temp. of  $4^{\circ}$ , and then

immersed in dist. H<sub>2</sub>O. The algae were washed with artificial seawater ( $pH 8$ ), immersed for 30 s in HClO  $(0.01\%)$ , and washed with dist. H<sub>2</sub>O. The algal biomass  $(5.0 g)$  was transferred to 250-ml Erlenmeyer flasks, containing 100 ml of H<sub>2</sub>O (pH 8), and incubated at 32 $\degree$  for 5 d on a rotary shaker  $(150$  rpm). Then, the algal culture was inoculated in *Petri* dishes containing nutrient broth. Triplicate plates were incubated in an oven at  $32^{\circ}$  for 8 d. The microorganisms grown on the plates containing agar nutrient broth were isolated and identified by 16S rRNA gene-sequence analysis.

Genomic DNA was obtained according to the method of Pospiech and Neumann [24]. 16S rRNA gene was amplified by PCR (= polymerase chain reaction) using specific primers, 27f and 1401r for universal Bacteria Domain [25].

Fifty-µl reaction mixtures contained 100 ng of total DNA, 2 U of Taq polymerase (Invitrogen), 0.2 mm of deoxynucleoside triphosphates, and  $0.4 \mu$ m of each primer. The PCR amplifications were performed using an initial denaturation step of 2 min at  $95^{\circ}$ , followed by 30 cycles of 1 min at  $94^{\circ}$ , 1 min at 55 $^{\circ}$  and 3 min at 72 $^{\circ}$ , and the extension of 3 min at 72 $^{\circ}$ , in an *Eppendorf* thermal cycler.

The PCR product was purified on  $GFX^{TM}$  PCR DNA and a Gel Band Purification kit (GE HealthCare) for automated sequencing in the MegaBace DNA Analysis System 1000. The sequencing was carried out using the 10f (5'GAG TTT GAT CCT GGC TCA G3'), 765f (5'ATT AGA TAC CCT GGT AG3'), 782r (5'ACC AGG GTA TCT AAT CCT GT3'), and 1100r (5'AGG GTT GGG GTG GTT G 3') primers, and the DYEnamic ET Dye Terminator Cycle Sequencing Kit for the automated MegaBace 500 system  $(GE$  HealthCare), according to the manufacturer's recommendations.

Partial 16S rRNA sequences obtained from isolates were assembled in a contig using the phred/ Phrap/CONSED program [26] [27]. Identification was achieved by comparing the contiguous 16S rRNA sequences obtained with 16S rRNA sequence data from reference and type strains available in the public databases GenBank and RDP (Ribosomal Database Project, Michigan State University, USA) using the BLASTn and Seqmatch, resp. The sequences were aligned using the CLUSTAL X program and analyzed with MEGA v.4 software [28] [29]. Evolutionary distances were derived from sequence-pair dissimilarities calculated as implemented in MEGA, using Kimura's DNA substitution model [30]. The phylogenetic reconstruction was achieved using the neighbor-joining (NJ) algorithm, with bootstrap values calculated from 1000 replicate runs, using the routines included in the MEGA software [29] [31]. Phylogenetic analysis of partial 16S rRNA gene sequences of bacterial associated from B. tenella and B. radicans algae is shown in the Figure.

2.5. Biocatalytic Reduction of Iodoacetophenones  $1-3$  using B. tenella and B. radicans. The B. tenella and *B. radicans* algae stored in the freezer at  $-14^{\circ}$  were transferred to a refrigerator at a temp. of  $4^{\circ}$ , and



 $\overline{0.02}$ 

Figure. Phylogenetic analysis of partial 16S rRNA gene sequences of bacteria associated with marine algae B. tenella and B. radicans. Evolutionary distances were based on Kimura 2p model and tree reconstruction on the neighbor joining method. Bootstrap values (1000 replicate runs, shown as %) > 70% are listed. Pseudomnas aeruginosa PAO1 (NC\_002516.2) was used as outgroup.

then immersed in dist. H<sub>2</sub>O. The algae were washed with artificial seawater ( $pH 8$ ), immersed for 30 s in HClO  $(0.01\%)$ , and washed with dist. H<sub>2</sub>O. Algae  $(5.0\text{ g})$  were transferred to 250-ml *Erlenmeyer* flasks containing dist. H<sub>2</sub>O (100 ml), and the ketones  $1 - 3$  (50.0 mg; 0.20 mmol) dissolved in 300  $\mu$  of DMSO were added immediately. The Erlenmeyer flasks were shaken at  $32^{\circ}$  for 8 d on an orbital shaker (150 rpm). Afterwards, the algal biomass was harvested by filtration, and the aq. phases were extracted with AcOEt ( $3 \times 30$  ml). The org. phases were separated, dried (Na<sub>2</sub>SO<sub>4</sub>), and evaporated until dryness under vacuum. The reactions products were analyzed by GC-FID, and the results are compiled in Table 2.

2.6. Biocatalytic Reduction of ortho-Acetophenone Derivatives with Bacteria Associated from B. tenella and B. radicans. The bacteria associated from B. tenella and B. radicans algae were used for the reduction of  $ortho$ -acetophenones  $4-7$ . The strains were preserved in agar culture containing nutrient broth at  $4^\circ$ . In addition, the strains were grown in liquid culture medium and incubated in 250-ml *Erlenmeyer* flasks with 100 ml of nutrient broth at  $32^{\circ}$  for 48 h on an orbital shaker (150 rmp). Then, the ortho-acetophenones (50.0 mg) dissolved in 300 µl of DMSO were added immediately. The Erlenmeyer flasks were shaken at  $32^{\circ}$  for  $4-8$  d on an orbital shaker (150 rmp). The mixtures were extracted with AcOEt ( $3 \times 30$  ml), and org. phases were separated, dried (Na<sub>3</sub>SO<sub>4</sub>), and evaporated until dryness under vacuum. The results are collected in Tables  $3-5$ .

2.7. Assignment of the Absolute Configurations. The optical rotations of compounds obtained from the biocatalytic reactions were determined in 1-dm cuvette using a *Perkin–Elmer* model 241 polarimeter and were referenced to the Na-D line. The abs. configurations of alcohols  $8-14$  were determined by comparing the specific signs of rotation measured for the products with those reported in the literature (Table 6).

3. Results and Discussion. – Initially, the biocatalytic reductions of the iodoacetophenones 1-3 were carried out using red algae Bostrychia tenella and Bostrychia *radicans*. The algal biomass *B. tenella* and *B. radicans* stored in a freezer at  $-14^{\circ}$  were transferred to a refrigerator at a temperature of  $4^\circ$ , and then immersed in distilled H<sub>2</sub>O. The algae were washed with artificial seawater (pH 8), immersed for 30 s in HClO  $(0.01\%)$ , and washed with distilled H<sub>2</sub>O. The algae were used for reduction of ketones  $1 - 3$ , and the results are summarized in Table 2.

One of the prime requirements of a biocatalytic reduction is the optimization of the reaction parameters, *i.e.*, amount of cell biomass, type of substrates, the effects of reaction temperature, and time. In this study, we used *ortho-*, *meta*- and *para*iodoacetophenones 1–3. With the optimizations of the experiments, the reactions were carried out for 8 d and then analyzed by GC. B. tenella and B. radicans algae yielded enantiomerically pure (iodophenyl)ethanols  $8 - 10$  with high enantiomeric excesses ( $> 99\%$  ee). The absolute configurations of the alcohols **8 – 10** were in accordance with *Prelog's* rule, *i.e.*,  $(S)$ -alcohols  $(Table 2)$ .

In this study, we observed that the *ortho*-iodoacetophenone (1) afforded the best results for the bioreduction by  $B$ . tenella and  $B$ . radicans algae. Concentrations of  $(S)$ alcohol 8 were obtained with  $40 - 42\%$  after 8 d of incubation (*Table 2*). However, the reduction of *meta*-iodoacetophenone  $(2)$  and *para*-iodoacetophenone  $(3)$  with the two algae produced lower amounts of the alcohols 9 and 10, respectively. Ketone 1 was more reactive than compounds 2 and 3 under these conditions.

To test the capacity of the new biocatalyst, the biomass of B. tenella was used for the reduction of the ketones 4–7 with different groups in the *ortho-position*. The different groups strongly influenced the results  $(Table 3)$ . The reduction of *ortho-fluoroaceto*phenone 4 yielded alcohol 11 with high enantiomeric excesses ( $>99\%$  ee) and 33% concentration after 4 d of incubation (*Table 3, Entry 1*). Extending the reaction time to Table 2. Bioreduction of the Iodoacetophenones  $1-3$  by Marine Algae Bostrychia tenella and B. radicans<sup>a</sup>)





<sup>a</sup>) Reaction conditions: biomass (5.0 g) was transferred to 250-ml *Erlenmeyer* flasks containing distilled  $H<sub>2</sub>O$  (100 ml) with the ketones  $1-3$  (50.0 mg, 0.20 mmol) dissolved in 300 µl of DMSO. Erlenmeyer flasks were shaken at 32° for 8 d on an orbital shaker (150 rmp). b) c [%]: Concentration determined by GC.  $\degree$ ) ee [%]: Enantiomeric excess.  $\degree$ ) Chiral column: CP-Chiralsil-DEX  $\beta$ -Cyclodextrin (25 m  $\times$ 0.25 mm i.d.; 0.39  $\mu$ m).  $\text{°}$ ) Yield isolated: 25%.

more than 8 d,  $(S)$ -fluoroalcohol 11 was obtained with 52% concentration (*Table 3*, Entry 2).

Surprisingly, the bulky groups in the *ortho-position* (Cl, Br, and  $NO<sub>2</sub>$ ) led to a decrease in the concentration of the alcohols  $12-14$  (*Table 3*), but high enantiomeric excesses were obtained. Longer incubation times in these experiments led to higher yields of alcohols  $11 - 14$  (Table 3). For example, the reduction of nitroacetophenone 7 did not occur after 4 d. The increase of the time to 8 d afforded alcohol 14 with 13% concentration (Table 3, Entries 7 and 8).

During these studies employing biomass algae, we promoted the isolation of associated microorganisms of the red algae, given that bacteria are opportunists and capable of growth in algal culture [32]. The mild sterilization of algae (artificial seawater and HClO) allowed the growth of the associated microorganisms. The B. tenella and B. radicans algal cultures were cultivated on an orbital shaker containing the distilled  $H_2O$  (see *Experimental*). In addition, the algal solutions were inoculated in Petri dishes containing agar medium. The bacteria associated grown in agar medium was transferred to liquid medium and the acetophenones dissolved in 300 µ of DMSO were added (see Experimental).

Bacteria associated with algae promoted bioreduction of iodoacetophenones 1 – 3 with high enantiomeric excess  $(>99\%$  ee) with different concentrations (Table 4). Strain BR62 from B. radicans catalyzed reductions of iodoacetophenones 1 and 3 with 46 and 48% concentrations, respectively, within 8 d (Table 4, Entries 2 and 6). Strain BT01 from *B. tenella* under the same conditions afforded the alcohols **8** and **9** in good Table 3. Bioreduction of the ortho-Acetophenones  $4-7$  by Marine Algae Bostrychia tenella<sup>a</sup>)





<sup>a</sup>) Reaction conditions: biomass (5.0 g) was transferred to 250-ml *Erlenmeyer* flasks containing distilled  $H<sub>2</sub>O$  (100 ml) with the ketones  $4-7$  (50.0 mg) dissolved in 300  $\mu$ l of DMSO. *Erlenmeyer* flasks were shaken at 32° for 8 d on an orbital shaker (150 rmp).  $^{\rm b}$  )  $c$  [%]: Concentration determined by GC.  $^{\rm c}$  ) Yield isolated. <sup>d</sup>) ee (%): Enantiomeric excess. <sup>e</sup>) Chiral column: CP-Chiralsil-DEX  $\beta$ -Cyclodextrin (25 m  $\times$  $0.25$  mm i.d.;  $0.39$   $\mu$ m).

enantiomeric excess ( $> 99\%$  ee), and low concentrations (*Table 4, Entries 8* and 12). meta-Iodoacetophenone 2 was reduced in poor concentrations and high enantiomeric excess (Table 4).

Similarly, strain BR62 from B. tenella catalyzed the reduction of ortho-acetophenones  $4-7$  (Table 5). Strain BR62 was also efficient in providing alcohols  $11-13$  with high enantiomeric excesses and good concentrations (*Table 5, Entries 1 – 3*). In these investigations, only the ortho-nitroacetophenone was obtained in poor optical purity and concentration (Table 5, Entry 4).

The biocatalytic experiments demonstrated that marine algae B. tenella, B. radicans, and associated bacteria catalyzed the bioreduction of acetophenone derivatives with high optical purities. Strain BR62 associated from B. radicans was identified as Bacillus sp., supporting values of 100% on the boot strap, similar to strain types B. pumilus and B. safensis. The nucleotide alignment of strain BT01 supported bootstrap values of 99%, showing similarity to different Bacillus strains including the sequences of the strains B. subtilis and B. licheniformis. In addition, the strains were identified as Bacillus sp. through their morphology and by 16S rRNA gene sequence analysis ( $Fig.$ ).

The bacterial cultures may be contaminants present in algal biomass [32]. The associated bacteria with marine algae may be opportunists, utilizing algal extracellular products. In the literature, the Bacillus strains were isolated from the brown marine alga Sargassum sp. This report represents the first article to deal with Bacillus sp.





<sup>a</sup>) Reaction conditions: bacterial consortiums of the *Bacillus* sp., isolated from marine algae *B. radicans* and B. tenella were grown in Erlenmeyer flasks containing nutrient broth for 3 d, and then the ketones 1– 3 (50.0 mg; 0.20 mmol) dissolved in 300  $\mu$ l of DMSO were added. *Erlenmeyer* flasks were shaken at 32° for 8 d on an orbital shaker (150 rmp).  $\frac{b}{c}$  [%]: Concentration determined by GC.  $\degree$ ) Yield isolated. <sup>d</sup>) ee [%]: Enantiomeric excess. <sup>e</sup>) Chiral column: CP-Chiralsil-DEX  $\beta$ -Cyclodextrin (25 m × 0.25 mm  $i.d.; 0.39 \mu m$ ).

associated with interdital marine alga [33]. Recently, red algae species yielded marine bacteria of the Bacillus genus [34].

4. Conclusions. – In conclusion, the biocatalytic reduction of acetophenone derivatives was accomplished using B. tenella and B. radicans algal biomass yielding enantiomerically pure alcohols  $(>99\%$  ee). In addition, we isolated bacteria strains associated with red marine algae B. tenella and B. radicans. Bacteria were identified as Bacillus sp., and they catalyzed the bioreduction of the acetophenone derivatives with high enantiomeric excess ( $> 99\%$  ee). The use of the new marine organisms is important for the discovery of new enzymes for biotechnological applications.

The authors wish to thank the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq; grant No. 472663/2004-6 to A. L. M. P.) and the Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP; grant No. 2006/54401-2 to A. L. M. P.) for their financial support. Thanks are also

Table 5. Bioreduction of the ortho-Acetophenones  $4-7$  by Bacillus sp. strain BR62 Isolated from Marine Algae B. radicans<sup>a</sup>)

		R	OH Marine bacteria 32°, 130 rpm, 8 d R	
		$4R = F$ $5R = C1$ $6R = Br$ $7 R = NO2$	$11 - 14$	
Entry	Ketones	$c[^{\%}]^{\{b\}}$ Ketones	Alcohols (Yield $[\%]$ ) <sup>c</sup> )	ee $[%]$ <sup>d</sup> $)$ <sup>e</sup> $)$ Alcohols (abs. conf.)
1 2 3 $\overline{4}$	$2-F4$ $2$ -Cl $5$ $2-Br$ 6 $2-NO, 7$	27 51 5 93	73 (59) 49 (35) 95 (77) 7	99(S) 99(S) 99(S) 10(S)

<sup>a</sup>) Reaction conditions: bacteria isolated from marine alga *B. radicans* was grown in *Erlenmeyer* flasks containing nutrient broth for 3 d, and then the ketones  $4-7$  (50.0 mg) dissolved in 300 µ of DMSO were added. *Erlenmeyer* flasks were shaken at 32° for 8 d on an orbital shaker (150 rmp). <sup>b</sup>) c [%]: Concentration determined by GC.  $\circ$ ) Yield isolated.  $\circ$  d) ee [%]: Enantiomeric excess.  $\circ$ ) Chiral column: CP-Chiralsil-DEX  $\beta$ -Cyclodextrin (25 m  $\times$  0.25 mm i.d.; 0.39 µm).

Table 6. Optical Rotations of the Alcohols 8-14

<b>Alcohols</b>	$\lbrack a \rbrack^2$ (exper.)	$\lceil \alpha \rceil^2$ (literature)
$1-(2-Iodophenyl)$ ethanol $(8)$		$(S)$ -8 - 17.6 (c = 1.7, CHCl <sub>3</sub> ) (S)-8 - 41.3 (c = 0.20, CHCl <sub>3</sub> ) [17]
$1-(3-Idophenyl)$ ethanol $(9)$	a)	$(S)-9 - 12.9$ (c = 2.4, CHCl <sub>3</sub> ) [18]
$1-(4-Iodophenyl)$ ethanol $(10)$	a)	$(S)$ -10 - 32.7 (c = 5.99, CHCl <sub>3</sub> ) [18]
		1-(2-Fluorophenyl)ethanol (11) (S)-11 -45.5 (c = 2.0, CHCl <sub>3</sub> ) (S)-11 -34.7 (c = 3.63, CH <sub>2</sub> Cl <sub>2</sub> ) [19]
1- $(2$ -Chlorophenyl) ethanol $(12)^{a}$		$(S)$ -12 - 32.9 (c = 0.22, CHCl <sub>3</sub> ) [17]
1-(2-Bromophenyl)ethanol (13) $(R)$ -13 + 26 ( $c = 2.5$ , CHCl <sub>3</sub> )		$(S)$ -13 - 54.5 (c = 0.20, CHCl <sub>3</sub> ) [17]
1-(2-Nitrophenyl)ethanol (14) (S)-14 + 17 (c = 1.8, CHCl <sub>3</sub> )		$(S)$ -14 + 30.6 (c = 3.6, CHCl <sub>3</sub> ) [20]

<sup>a</sup>) Absolute configurations of 9, 10, and 12 were attributed by retention times on GC-FID chiral (see Table 1) [19].

due to Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES) and CNPq for scholarships awarded to M. P.M. and A. M.M., respectively.

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Received November 28, 2010